## Federal Railroad Administration, DOT

required by this part no railroad shall permit or require that person to operate a locomotive as a locomotive or train service engineer prior to that person's achieving a passing score during a reexamination of his or her knowledge.

[56 FR 28254, June 19, 1991, as amended at 74 FR 68184, Dec. 23, 2009]

## § 240.211 Procedures for making the determination on performance skills.

- (a) Each railroad, prior to initially certifying or recertifying any person as an engineer for any class of train or locomotive service, shall determine that the person has demonstrated, in accordance with the requirements of §240.127 of this part, the skills to safely operate locomotives or locomotives and trains, including the proper application of the railroad's rules and practices for the safe operation of locomotives or trains, in the most demanding class or type of service that the person will be permitted to perform.
- (b) In order to make this determination, a railroad shall have written documentation showing the person either
- (i) Exhibited his or her knowledge by achieving a passing grade in testing that complies with this part or
- (ii) Did not achieve a passing grade in such testing.
- (c) If a person fails to achieve a passing score under the testing and evaluation procedures required by this part, no railroad shall permit or require that person to operate a locomotive as a locomotive or train service engineer prior to that person's achieving a passing score during a reexamination of his or her performance skills.
- (d) No railroad shall permit a designated supervisor of locomotive engineers to test, examine or evaluate his or her own performance skills when complying with this section.

[56 FR 28254, June 19, 1991, as amended at 74 FR 68184, Dec. 23, 2009]

## § 240.213 Procedures for making the determination on completion of training program.

(a) Each railroad, prior to the initial issuance of a certificate to any person as a train or locomotive service engineer, shall determine that the person

has, in accordance with the requirements of §240.123 of this part, the knowledge and skills to safely operate a locomotive or train in the most demanding class or type of service that the person will be permitted to perform.

- (b) In making this determination, a railroad shall have written documentation showing that:
- (1) The person completed a training program that complies with §240.123 of this part;
- (2) The person demonstrated his or her knowledge and skills by achieving a passing grade under the testing and evaluation procedures of that training program; and
- (3) A qualified Designated Supervisor of Locomotive Engineers has determined that the person is familiar with the physical characteristics of the railroad or its pertinent segments.

[56 FR 28254, June 19, 1991, as amended at 64 FR 60992, Nov. 8, 1999; 74 FR 68184, Dec. 23, 2009]

## § 240.215 Retaining information supporting determinations.

- (a) A railroad that issues, denies, or revokes a certificate after making the determinations required under §240.203 shall maintain a record for each certified engineer or applicant for certification that contains the information the railroad relied on in making the determinations.
- (b) The information concerning eligibility that the railroad shall retain includes:
- (1) Any relevant data from the railroad's records concerning the person's prior safety conduct;
- (2) Any relevant data furnished by another railroad;
- (3) Any relevant data furnished by a governmental agency concerning the person's motor vehicle driving record; and
- (4) Any relevant data furnished by the person seeking certification concerning his or her eligibility.
- (c) The information concerning vision and hearing acuity that the railroad shall retain includes:
- (1) The relevant test results data concerning acuity; and,
- (2) If applicable, the relevant data concerning the professional opinion of